

Sr. No. 3786

Exam. Code: 110104

Subject Code : 3781

**B. Voc. Banking & Financial Services - 4th Sem.**

**(2517)**

**Paper-BVC-406: Financial Market Operations**

**Time allowed: 3 hrs.**

**Max. Marks: 50**

**SECTION-A**

**Note: - Attempt any TEN parts of this question. Each part should not exceed 5 lines. Each question carries 1 mark.**

**1. Explain any TEN parts of the following :-**

- a) Rolling settlement
- b) Bonus Issue
- c) OTCEI
- d) Continuous net settlement.
- e) Consequences of non-listing of securities.
- f) Deep discount bond
- g) Private placement
- h) Functions of stock exchanges
- i) Discount house of India
- j) What is the need of depository ?
- k) Public issue
- l) Differentiate between new issue market and secondary market

**SECTION-B**

**Note :-** Attempt any **TWO** questions from this section. Answer to each question should not exceed **5** pages. Each question carries **10** marks.

2. Discuss the types of financial markets. How the two markets are interrelated ?
3. Define listing ? Explain its advantages and disadvantages.
4. Write short note on :
  - a) Book-building
  - b) Private placement
  - c) Right Issue
5. Discuss the history and background of Bombay stock exchange ? Explain its essential features.

**SECTION-C**

**Note :-** Attempt any **TWO** questions from this section. Answer to each question should not exceed **5** pages. Each question carries **10** marks.

6. What is depository system ? Explain the merits and demerits of depository services ?
7. What is margin payment and pay-out mechanism ?
8. Briefly discuss the provisions relating to Investor protection and securities under SEBI Act 1992.
9. What are the major stock market indices in India ? Discuss.

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